

The evolution of the genetic code took place in an anaerobic environment

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Abstract

We have compared orthologous proteins from an aerobic organism, *Cytophaga hutchinsonii*, and from an obligate anaerobe, *Bacteroides thetaiotaomicron*. This comparison allows us to define the oxyphobic ranks of amino acids, i.e. a scale of the relative sensitivity to oxygen of the amino acid residues. The oxyphobic index (OI), which can be simply obtained from the amino acids' oxyphobic ranks, can be associated to any protein and therefore to the genetic code, if the number of synonymous codons attributed to the amino acids in the code is assumed to be the frequency with which the amino acids appeared in ancestral proteins. Sampling of the OI variable from the proteins of obligate anaerobes and aerobes has established that the OI value of the genetic code is not significantly different from the mean OI value of anaerobe proteins, while it is different from that of aerobic proteins. This observation would seem to suggest that the terminal phases of the evolution of genetic code organization took place in an anaerobic environment. This result is discussed in the framework of hypotheses suggested to explain the timing of the evolutionary appearance of the aerobic metabolism.

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1. Introduction

It is widely accepted that the evolution of aerobic metabolism was possible only after the oxygen released by photosynthesis became abundant in the atmosphere; a viewpoint which is for instance typically taken for granted in text books (Skelton, 1993; Alberts et al., 1994). Nevertheless, Kasting (1993) suggests a model for the evolution of the primitive atmosphere that envisages a first stage in which the atmosphere is reducing but has oases on the ocean surface with a relatively high concentration of oxygen, deriving from the photodissociation of water vapour (see also Klein, 1992; Holland, 2006). Therefore, the terminal phases of the origin of genetic code organization might have taken place in the presence of oxygen. Indeed, Castresana et al. (1994) suggest a scenario for the evolution of aerobic metabolism, which envisages that

respiration was already present at the time of the last universal common ancestor (LUCA). On the basis of phylogenetic analysis, Castresana et al. (1994) propose that uroxidase might have allowed the LUCA to live in the oxygen oases suggested by Kasting (1993) where a rudimentary aerobic metabolism might therefore have been able to evolve. Thus, the respiration-early hypothesis (Castresana and Saraste, 1995) predicts that aerobic respiration might have evolved in the LUCA before large quantities of oxygen were released into the atmosphere by photosynthetic organisms, thanks to these very oxygen oases.

On the other hand, the terminal phases of the origin of genetic code organization would seem to coincide with, or at least overlap, those of the evolution of the first universal common ancestor (FUCA), i.e. the early phases of the evolution of the LUCA (Di Giulio, 2001). More explicitly, it is possible that not only did the terminal phases of genetic code origin coincide with the evolutionary stage of the FUCA, but also that the entire evolution of the LUCA

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might have been characterized by the evolution of genetic code structuring (Di Giulio, 2001). Therefore, it can be reasonably assumed that at least some phases of genetic code structuring coincided with some phases of the LUCA's evolution.

A number of ideas and methods have been introduced in order to investigate the physical environment in which the genetic code was structured (Di Giulio, 2000, 2005a–c). In the present paper, these ideas are extended in order to investigate the presence/absence of oxygen in the physical environment in which the genetic code evolved. While it is clear that such a study might only be a partial test of the respiration-early hypothesis (see Section 4), it might nevertheless enhance our knowledge of the physical environment in which the terminal phases of the origin of genetic code organization took place. This is why we have decided to carry out this study.

2. Materials and methods

The proteins from *Cytophaga hutchinsonii*, and *Bacteroides thetaiotaomicron* were taken from the Kyoto database on the web site: www.genome.jp/kegg/. All other proteins, from the three domains, were taken from the NCBI database using BLASTP (Altschul et al., 1997).

The species of aerobes and obligate anaerobes were identified by consulting Jacobs and Gerstein (1960) and Staley et al. (1984) and the web site: www.ncbi.nlm.nih.gov/entrez/query.fcgi?CMD=File&DB=genomeprj.

The two or more proteins were aligned using CLUSTALX (Thompson et al., 1997). Only the highly conserved regions were used in the analysis, while those containing gaps or which were poorly conserved were removed from these alignments.

For each amino acid (Table 2) or for each amino acid pair (Table 3), the significant deviation from the expected 50:50 ratio was determined by calculating the precise binomial probability.

The oxyphobic index (OI) which can be associated to any protein is defined by means of

$$OI = \sum_{j=1}^N R_j / N,$$

where R_j is the oxyphobic rank (Table 2) of the j th amino acid and N is the protein length in amino acids.

Where not specified, the methods and ideas referred in equivalent analyses (Haney et al., 1999; McDonald et al., 1999; Di Giulio, 2000, 2005a–c) hold.

3. Results and discussion

3.1. Protein adaptive patterns in the presence/absence of oxygen

In order to obtain information regarding the pattern of amino acid substitution between an aerobic organism and an anaerobe, we have compared proteins from an aerobe, *C. hutchinsonii*, and from an obligate anaerobe,

Table 1

This matrix shows the amino acid substitution pattern between an aerobic organism, *Cytophaga hutchinsonii*, and an obligate anaerobe, *Bacteroides thetaiotaomicron*

		<i>Bacteroides thetaiotaomicron</i> (anaerobic)																			
<i>Cytophaga hutchinsonii</i> (aerobic)		A	C	D	E	F	G	H	I	K	L	M	N	P	Q	R	S	T	V	W	Y
A	2106	52	76	139	13	117	12	33	104	31	27	63	76	53	44	237	92	121	0	20	3416
C	26	257	0	3	4	4	1	9	2	7	4	4	0	1	3	22	11	21	0	2	381
D	55	1	1712	351	3	43	18	1	51	19	9	146	14	39	21	56	34	12	0	6	2591
E	118	5	316	2114	6	42	13	24	161	34	20	86	31	128	35	66	67	39	0	15	3320
F	17	4	4	8	1314	4	16	59	9	108	31	13	3	7	8	7	16	23	13	199	1863
G	113	6	52	41	4	2728	12	7	52	7	3	64	7	16	14	71	20	7	0	5	3229
H	13	5	19	19	16	8	556	9	29	15	1	45	9	20	21	25	11	11	2	49	883
I	58	5	9	35	47	2	7	2085	41	341	104	15	8	19	18	19	62	552	3	23	3453
K	112	4	84	191	10	54	41	40	2035	49	24	108	41	106	293	65	93	44	3	13	3410
L	68	11	15	39	95	14	11	359	57	2743	191	20	10	46	24	26	49	190	6	43	4017
M	17	6	6	18	19	4	0	65	22	154	669	7	3	26	9	11	27	50	4	13	1130
N	48	8	158	83	9	49	43	12	100	25	10	1119	13	46	39	74	63	21	0	15	1935
P	57	4	25	38	2	15	4	5	23	11	4	8	1380	22	12	28	23	13	1	2	1677
Q	51	4	37	142	6	22	28	15	103	38	33	50	10	780	63	41	41	28	2	10	1504
R	23	5	15	33	10	10	21	7	222	16	15	40	8	53	1554	20	25	15	4	9	2105
S	268	24	51	88	9	83	18	14	82	22	12	127	41	44	33	1222	188	38	2	14	2380
T	121	20	61	98	11	16	8	65	89	51	26	68	26	31	36	162	1402	95	1	19	2406
V	115	30	17	48	38	6	5	468	38	169	59	20	14	14	13	36	90	1924	2	20	3126
W	4	1	2	3	8	0	1	2	2	4	1	2	0	0	5	0	0	1	276	16	328
Y	17	8	3	12	147	6	36	25	16	25	9	12	2	8	13	9	8	14	15	1062	1447
		3407	460	2662	3503	1771	3227	851	3304	3238	3869	1252	2017	1696	1459	2258	2197	2322	3219	334	1555

See text for further information.

B. thetaiotaomicron. These two organisms (i) are both Bacteroides, (ii) have an equivalent C+G content, and (iii) have equivalent optimal growth temperatures as they are mesophiles (Staley et al., 1984). It is therefore expected that the amino acid substitution pattern must be affected primarily by the presence/absence of oxygen because this is the most significant difference between these two organisms (McDonald et al., 1999; Di Giulio, 2005a).

We have therefore compared 93 pairs of orthologous proteins from *C. hutchinsonii* and from *B. thetaiotaomicron* for a total of 44,601 amino acids (Table 1). This sample seems to be fairly representative of the amino acid substitution pattern because it presents a total of 15,563 variable amino acid positions, with a mean identity percentage in these proteins of 65.1% (Table 1).

Table 2 shows how the total amino acid substitutions regarding a single amino acid are distributed between the two compared organisms. Table 3 reports the statistically significant deviations from the expected 50:50 ratio of the single amino acid substitutions observed in the sample of all the amino acid substitutions (Table 1). Equivalent analyses have already been conducted in a similar way for

Table 2

The total amino acid substitution values for the single amino acids, i.e. the sum of all the amino acid substitution values towards (\rightarrow X) or from (X \rightarrow) a certain X amino acid (Table 1)

Total values of substitutions		<i>P</i>	Rank	
\rightarrow C	203	C \rightarrow 124	1.5×10^{-5}	20
\rightarrow R	704	R \rightarrow 551	1.8×10^{-5}	19
\rightarrow M	583	M \rightarrow 461	1.8×10^{-4}	18
\rightarrow Y	493	Y \rightarrow 385	3.0×10^{-4}	17
\rightarrow E	1389	E \rightarrow 1206	3.6×10^{-4}	16
\rightarrow N	898	N \rightarrow 816	0.050	15
\rightarrow V	1295	V \rightarrow 1202	0.066	10
\rightarrow D	950	D \rightarrow 879	0.10	10
\rightarrow P	316	P \rightarrow 297	0.47	10
\rightarrow W	58	W \rightarrow 52	0.63	10
\rightarrow G	499	G \rightarrow 501	0.97	10
\rightarrow A	1301	A \rightarrow 1310	0.87	10
\rightarrow Q	679	Q \rightarrow 724	0.24	10
\rightarrow H	295	H \rightarrow 327	0.21	10
\rightarrow T	920	T \rightarrow 1004	0.059	10
\rightarrow F	457	F \rightarrow 549	4.1×10^{-3}	5
\rightarrow I	1219	I \rightarrow 1368	3.6×10^{-3}	4
\rightarrow L	1126	L \rightarrow 1274	2.7×10^{-3}	3
\rightarrow K	1203	K \rightarrow 1375	7.5×10^{-4}	2
\rightarrow S	975	S \rightarrow 1158	8.2×10^{-5}	1

The direction of substitution indicated by the arrow is: aerobic amino acid \rightarrow anaerobic amino acid. *P* indicates the probability calculated using binomial distribution of the deviation from the expected 50:50 ratio for each amino acid. The ranks are simply the oxyphobic ranks of amino acids, defined on the basis of *P*. For instance, the sum of amino acid substitutions leading to C (Cys) in the anaerobic organism significantly exceeds that of the aerobic organism and, having a smaller *P*, it is assigned the highest rank (20 units). Whereas, the sum of the amino acid substitutions leading to S (Ser) of the anaerobic organism is significantly lower than that of the aerobic organism, and having a smaller *P*, it is assigned the lowest rank (1 unit), and so on. The rank of 10 units is the mean rank of amino acids having a non-significant *P*.

Table 3

All the statistically significant deviations from the expected 50:50 ratio of the single amino acid pairs

				<i>P</i>
NS	127	SN	74	2.3×10^{-4}
AL	68	LA	31	2.6×10^{-4}
KR	222	RK	293	2.0×10^{-3}
IM	65	MI	104	3.3×10^{-3}
AC	26	CA	52	4.3×10^{-3}
DK	84	KD	51	5.7×10^{-3}
FY	147	YF	199	6.0×10^{-3}
DT	61	TD	34	7.3×10^{-3}
IV	468	VI	552	9.3×10^{-3}
AI	58	IA	33	1.1×10^{-2}
AR	23	RA	44	1.4×10^{-2}
ET	98	TE	67	1.9×10^{-2}
DI	9	ID	1	2.1×10^{-2}
KP	23	PK	41	3.3×10^{-2}
LY	25	YL	43	3.8×10^{-2}
IR	7	RI	18	4.3×10^{-2}
QV	14	VQ	28	4.4×10^{-2}

The first amino acid refers to the amino acid in the anaerobic organism, the second amino acid to that in the aerobic. For instance, the substitution NS = 127 (Table 1) indicates that 127 times N (Asn) has been substituted by S (Ser) passing from the anaerobic to the aerobic organism. The probability *P* was calculated using binomial distribution.

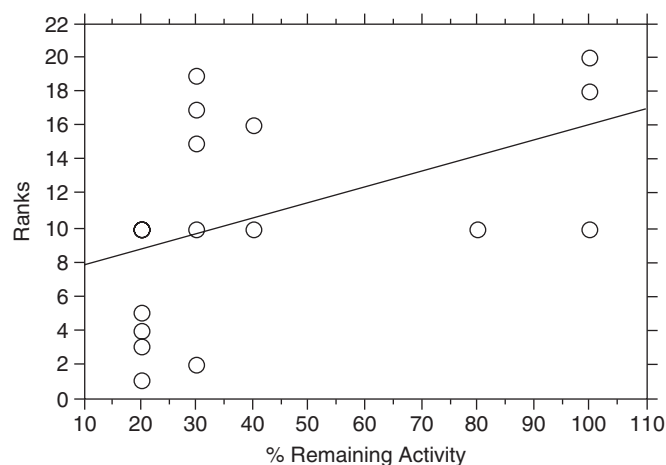


Fig. 1. The correlation between the values of the amino acids' oxyphobic ranks (Table 2) and the values of the percentages of the remaining activity of lysozyme in the presence of CCl_3O_2 and amino acids (Willson, 1985, Table IV, p. 62).

other variables (Haney et al., 1999; McDonald et al., 1999; Di Giulio, 2000, 2005a, c).

3.2. The amino acid substitution pattern seems to be correlated to the scale measuring the amino acids' oxygen sensitivity

At least for two amino acids, Cys and Met, which are particularly oxidation-sensitive because they contain sulphur (Berlett and Stadtman, 1997), the total amino acid

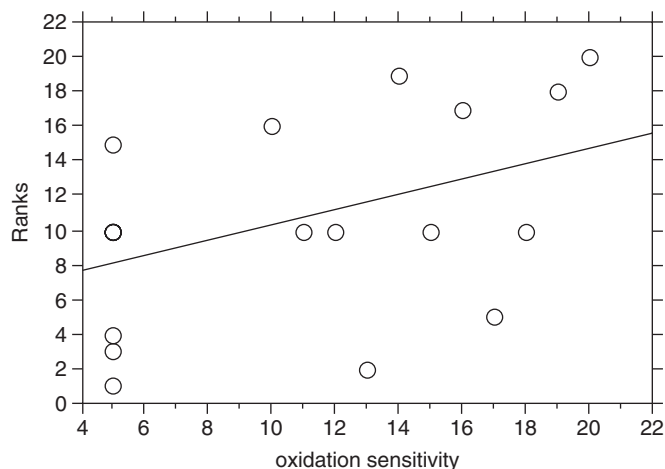


Fig. 2. The correlation between the values of the amino acids' oxyphobic ranks (Table 2) and the values of the ranks (oxidation sensitivity) attributed to the amino acids in Table 1 of Berlett and Stadtman (1997, p. 20315) in the order in which they appear in that table. For instance, Cys, which is the first amino acid in that table (Berlett and Stadtman, 1997, Table 1, p. 20315) has been attributed the value of 20 units. Met, which is the second amino acid in the table, has been attributed the value of 19 units, and so on. The amino acids not appearing in Bertlett and Stadtman's Table 1 have been attributed with a mean rank of 5 units.

substitutions regarding the single amino acids seem to reflect this sensitivity. Indeed, the ranks of these two amino acids are among the highest observed values (Table 2).

In order to ascertain whether or not the comparison, and therefore also the amino acid substitution pattern (Tables 1–3), reflects the amino acids' oxidation sensitivity, we have performed two correlations (Figs. 1 and 2 and their legends). The first is between the oxyphobic ranks of amino acids (Table 2) reflecting the amino acids' presumed oxygen sensitivity and the inactivation of lysozyme by CCl_3O_2 in the presence of amino acids used as antioxidants, i.e. the percentage of remaining activity of lysozyme (Willson, 1985). Fig. 1 reports this correlation, which is statistically significant ($r = +0.476$, $F = 5.27$, $df = 19$, $p = 0.034$). The second correlation is between the oxyphobic ranks of amino acids (Table 2) and the ranks of amino acids reflecting their oxidation sensitivity (Berlett and Stadtman, 1997; see legend of Fig. 2). Fig. 2 reports this second correlation, which is also statistically significant ($r = +0.448$, $F = 4.52$, $df = 19$, $p = 0.048$).

These two correlations, although only marginally significant and not independent of each other given the correlation existing between the percentage of the

Table 4
The data referring to the sampling of the values of the oxyphobic index variable (OI) of proteins from aerobic and obligate anaerobic organisms

	Aln	Aerobic			Anaerobic		
		<i>n</i>	Mean	Std.dev.	<i>n</i>	Mean	Std.dev.
methionyl-tRNA synthetase	332	60	9.5156	0.24	30	9.5481	0.31
glutamate dehydrogenase	240	38	9.5376	0.44	16	9.6891	0.36
leucyl-tRNA synthetase	583	45	9.4658	0.28	21	9.7120	0.32
threonyl-tRNA synthetase	504	53	9.4588	0.33	19	9.7007	0.27
tryptophan synthetase	324	39	9.7725	0.35	10	9.8192	0.24
adenylosuccinate synthetase	138	54	9.5407	0.38	24	9.7720	0.30
CTP synthetase	332	58	9.4383	0.24	26	9.5390	0.24
ribosomal protein	209	22	9.7154	0.32	20	9.7791	0.38
thiamine biosynthesis protein	246	23	9.4092	0.54	25	9.5003	0.63
pyruvate dehydrogenase	384	26	9.4682	0.34	9	9.6588	0.37
DNA gyrase subunit A	662	16	9.5520	0.17	30	9.5693	0.27
prolyl-tRNA synthetase	180	61	9.5679	0.47	28	10.0331	0.29
arginyl-tRNA synthetase	197	37	9.1882	0.55	28	9.3168	0.37
tyrosyl-tRNA synthetase	242	42	9.3421	0.40	15	9.5046	0.35
isoleucyl-tRNA synthetase	366	47	9.6639	0.31	18	9.7279	0.29
valyl-tRNA synthetase	409	46	9.7022	0.25	29	9.8190	0.24
asparaginyl-tRNA synthetase	246	40	9.8044	0.41	20	10.1711	0.24
ferredoxin reductase	207	45	9.4288	0.36	31	9.8763	0.29
cysteine synthase	264	35	9.0123	0.40	20	9.2873	0.31
methionine adenosyltransferase	292	31	9.1702	0.23	6	9.7401	0.14
fumarate reductase	195	41	10.3335	0.31	10	10.4426	0.24
gamma-glutamyl phosphate reductase	292	55	9.2125	0.49	17	9.3335	0.27
arginine biosynthesis protein	248	50	9.2663	0.42	30	9.4270	0.38
selenophosphate synthetase	188	16	9.0439	0.39	17	9.1232	0.35
homocysteine S-methyltransferase	164	46	9.5274	0.39	13	9.7227	0.59
Histidyl-tRNA synthetase	136	34	9.3546	0.56	31	9.6014	0.53
argininosuccinate synthase	308	41	9.5413	0.31	30	9.6012	0.28

Aln indicates the length of the alignment in amino acids; *n* indicates the number of species used by aerobic and obligate anaerobic organisms with the relative value of the mean and standard deviation.

remaining activity of lysozyme and the amino acids' oxidation sensitivity ($r = +0.684$, $F = 15.79$, $df = 19$, $p = 9.0 \times 10^{-4}$), nevertheless seem to clearly show that the oxyphobic ranks of amino acids (Table 2) reflecting the amino acid substitution pattern truly reflect the oxygen sensitivity of amino acid residues, i.e. they measure what they are supposed to measure.

3.3. The genetic code originated in an anaerobe

The mean protein defined on the basis of amino acid composition imposed by the number of synonymous codons in the genetic code has an OI value (OI_{code}) of 9.639 (see Section 2). In calculating this value, Trp for instance, which is specified by a single codon in the genetic code, is assumed to have a frequency in ancestral proteins of 1/61, while Arg with 6 codons in the genetic code must have had a frequency of 6/61, and so on (for a justification of this assumption, see Di Giulio, 2000). We can therefore test whether the value of the $OI_{code} = 9.639$ is typical of the proteins of aerobic organisms or of anaerobes. In order to answer this question we must evidently sample the OI variable in these two groups of organisms. Table 4 reports the main information regarding the sampling of the OI variable for 27 different orthologous proteins from aerobic and obligate anaerobic organisms. During this sampling, a further 41 orthologous proteins displayed a mean OI value (OI_{mean}) higher in aerobes than in anaerobes, and these proteins were all eliminated from the analysis. The mean value of the OI for the 27 proteins from the aerobes sample is $OI_{mean} = 9.483$, while that of the obligate anaerobes is $OI_{mean} = 9.667$ (Table 4). And while the $OI_{mean} = 9.483$ of aerobic proteins is significantly different from the $OI_{code} = 9.639$ ($t = -3.047$, $df = 26$, $0.001 < p < 0.01$), the mean of the anaerobe proteins, i.e. the value $OI_{mean} = 9.667$, is not different from $OI_{code} = 9.639$ ($t = +0.531$, $df = 26$, $p = 0.60$) (Balaam, 1972; Di Giulio, 2000, 2005b,c). This might indicate that the genetic code might have evolved in an anaerobic 'organism', given that the OI_{code} value is not significantly different from the OI_{mean} of proteins from anaerobes but it is different from that of aerobes.

4. Conclusions

The main result of the analysis here conducted seems to establish that the terminal phases of genetic code evolution must have taken place in an anaerobic environment. Although this result may seem to state the obvious because the primordial atmosphere is commonly assumed not to have had large quantities of oxygen (Klein, 1992; Schopf, 1993; Holland, 2006), the presence of oxygen oases on the surface of the primitive ocean (Klein, 1992; Kasting, 1993; Holland, 2006) makes it reasonable to suggest that the LUCA might have been an aerobe or, at least, an 'organism' possessing a rudimentary aerobic metabolism (Castresana et al., 1994; Castresana and Saraste, 1995).

The observations made in the present paper do not substantiate this respiration-early hypothesis (Castresana and Saraste, 1995) because the mean protein which can be associated to the genetic code seems to be more the typical one of anaerobes than that of the aerobes. However, the test performed here could refer to a different evolutionary period than the one referred to by the respiration-early hypothesis and, therefore, further analyses would evidently be necessary to falsify this intriguing suggestion. Moreover, high concentrations of oxygen are not necessarily needed to enable aerobic respiration, as can be seen in some cytochrome oxidases of certain organisms which work at very low oxygen pressures (Castresana and Saraste, 1995). Therefore, if these were also the conditions in the LUCA, then the test here performed might not be suitable because it might not be sensitive to these low oxygen pressures. On the other hand, if this is not the case, we must conclude that it is more likely that the genetic code evolved in an anaerobic environment.

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